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EPIQ BANKRUPTCY SOLUTIONS, LLC

B 210A (Form 210A) (12/09)

## UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings Inc.,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Banque Cantonale Vaudoise

Name of Transferee

Credit Suisse AG

Name of Transferor

Name and Address where notices to transferee should be sent:

Banque Cantonale Vaudoise  
Case Postale 300  
1001 Lausanne  
Attn: Victor Pereira  
Email: victor.pereira@bcv.ch

Court Claim # (if known): 55829

Amount of Claim: CHF 400,000.00

Date Claim Filed: 10/29/2009

Phone: + 41 21 212 11 56

Last Four Digits of Acct #: \_\_\_\_\_

Phone: \_\_\_\_\_

Last Four Digits of Acct. #: \_\_\_\_\_

Name and Address where transferee payments should be sent (if different from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: [Signature] Date: 06/23/2017  
Transferee/Transferee's Agent

Victor Pereira

Janique Vuillemet

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both, 18 U.S.C. §§ 152 & 3571.



**EVIDENCE OF TRANSFER OF CLAIM**

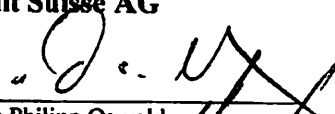
**TO: THE DEBTOR AND THE BANKRUPTCY COURT**


For value received, the adequacy and sufficiency of which are hereby acknowledged, **Credit Suisse AG** ("Transferor") unconditionally and irrevocably transferred to **Banque Cantonale Vaudoise** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim **Claim Nr. 55829** relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against **Lehman Brothers Holdings, Inc.** (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON **May 17, 2017**.

**Credit Suisse AG**

By:   
Name: Philipp Oswald  
Title: AVP

By:   
Name: Sandro Hunziker  
Title: AVP

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

| <b>ISIN</b>         | <b>Court Claim #</b> | <b>Date Claim Filed</b> | <b>Issuer</b>                        | <b>Number of Units or<br/>Currency and<br/>Nominal Amount</b> |
|---------------------|----------------------|-------------------------|--------------------------------------|---|
| <b>XS0337337710</b> | <b>55829</b>         | <b>October 29, 2009</b> | <b>Lehman Brothers Securities NV</b> | <b>CHF 400'000</b>  |



## Opérations

Victor Pereira  
Desk Corporate Actions  
victor.pereira@bcv.ch

**Banque Cantonale Vaudoise**  
Case Postale 300  
1001 Lausanne  
Tél. 021 212 11 56  
Fax 021 212 15 62  
www.bcv.ch

Epiq Bankruptcy Solutions, LLC  
Attn : Lehman Brothers Holdings Inc. Claim  
Processing  
757 Third Avenue, 3rd Floor  
NY 10017 New York

Réf. VRP

Lausanne, le 23 June 2017

### Evidence of transfer of claim nr 55829

Dear all,

Please find attached an Evidence of transfer of claim Nr 55829 Lehman Brothers Holdings Inc.

Best Regards

Banque Cantonale Vaudoise

A handwritten signature in blue ink, appearing to be 'V. Pereira', with a stylized flourish at the end.

Victor PEREIRA  
Assistant Vice-President

A handwritten signature in blue ink, appearing to be 'e.n. J. Vuillermet', with a stylized flourish at the end.

Janique VUILLERMET  
Authorised Officer

23.06.17

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Affr. Poste  
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PRIORITY  
Stand 2



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EPIQ SYSTEMS

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EPIQ SYSTEMS

Epiq Bankruptcy Solutions LLC  
Attn. Lehman Brothers Holdings Inc. Claim

Recovering

757 Third Avenue, 3rd floor

NY 10017 New York

USA

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NMR